

Analytical and empirical modelling of the origin and heliospheric propagation of coronal mass ejections, and space weather applications

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ABSTRACT

The focus is on the physical background and comprehension of the origin and the heliospheric propagation of interplanetary coronal mass ejections (ICMEs), which can cause most severe geomagnetic disturbances. The paper considers mainly the analytical modelling, providing useful insight into the nature of ICMEs, complementary to that provided by numerical MHD models. It is concentrated on physical processes related to the origin of CMEs at the Sun, their heliospheric propagation, up to the effects causing geomagnetic perturbations. Finally, several analytical and statistical forecasting tools for space weather applications are described.

Key words. coronal mass ejections – heliospheric propagation – geomagnetic storms – forecasting tools

1. Introduction

Solar sub-photospheric convection, differential rotation, and surface flows, in the interaction with solar magnetic field act as a magnetohydrodynamic (MHD) dynamo, inducing electric currents and thus transforming the mechanical energy into the electromagnetic one. The free magnetic energy associated with the induced currents is partly spent for (gradual/instantaneous) coronal heating, and partly is accumulating in large-scale coronal magnetic structures that can generally be described as coronal arcades, magnetic loops, or flux ropes.

Coronal heating is a result of small-scale energy releases either in the form of nano-flares, alternating currents (MHD waves), or persistent Joule heating by direct currents (for a review see, e.g., [Parnell and De Moortel, 2012](#)). The required energy comes from the subphotospheric convective mechanical work that stresses the magnetic field, which transports the electromagnetic energy into the corona, enabling the heating of the corona above the much cooler solar surface. The process is more efficient in regions of stronger magnetic field, which is clearly seen

31 from the fact that sunspots, which are colder than the surrounding photosphere, are related
 32 to coronal structures that are hotter than the ambient corona. It should also be noted that the
 33 process of coronal heating is tightly related to the generation of solar wind. In this respect, it
 34 should be noted that changes in the solar wind conditions can significantly influence the space
 35 weather. For example, high-speed streams (HSSs) and the associated corotating interaction
 36 regions (CIRs), originating from equatorial coronal holes, can significantly affect the state
 37 of the magnetosphere, causing weak or moderate geomagnetic storms (e.g. [Tsurutani et al.,](#)
 38 [1995](#), [2006b,a](#); [Verbanac et al., 2011a](#), and references therein) and modulating the flux of in-
 39 coming cosmic rays (e.g., [Dumbović et al., 2012](#); [Vršnak, 2016](#), and references therein). The
 40 cumulative effects of HSSs/CIRs, in fact, can be the most significant effect on the near-Earth
 41 space weather ([Tsurutani et al., 2006b](#)).

42 The energy that is accumulated in the large-scale coronal magnetic structures cannot in-
 43 crease indefinitely. Thus, when the system becomes overloaded, it loses its equilibrium and
 44 the accumulated energy is explosively released in the form of coronal mass ejections (CMEs),
 45 solar flares, various forms of coronal jets, etc. These powerful processes strongly affect the
 46 space weather, as they result in solar energetic particles, soft- and hard-X rays, increased EUV
 47 radiation, MHD shocks, and interplanetary CMEs (ICMEs) that transport strong fields into
 48 the heliosphere, etc. (for a review see, e.g., [Schwenn, 2006](#)). All these phenomena strongly af-
 49 fect the Earth magnetic field, ionosphere, and even the thermosphere (e.g., [Knipp et al., 2004](#);
 50 [Pulkkinen, 2007](#); [Tsurutani et al., 2020](#); [Krauss et al., 2015](#); [Koskinen et al., 2017](#)). ICMEs,
 51 i.e., the interplanetary successors of CMEs, cause the most severe transient disturbances in
 52 the heliosphere and at the Earth, where the ICME-related effects can strongly influence our
 53 everyday life (e.g., [Feynman and Gabriel, 2000](#); [Schrijver et al., 2015](#)). Consequently, the un-
 54 derstanding of the physical nature of their origin, dynamics, and heliospheric propagation is
 55 one of the central points of the space weather science, and space weather forecasting. In this
 56 paper we first focus on the physical background and the nature of CMEs (Section 2). Then,
 57 their heliospheric propagation is discussed (Section 3), and towards the end of the paper,
 58 some examples of the empirical and analytical models that are frequently used in forecasting
 59 the CME arrival time, impact speed, and geoeffectiveness are presented (Section 4). Finally,
 60 in Section 5 some concluding remarks are given. What is written below is a kind of “personal
 61 review” of our comprehension of the CME/ICME physics, particularly bearing in mind the
 62 population of young scientists, i.e., the paper is written in a such a way to help them in the
 63 early stages of their scientific careers.

64 2. Physics of Coronal Mass Ejections

65 Although the pre-eruptive coronal features as well as the eruption itself generally can have a
 66 very complex structure, the eruption of CMEs is nowadays usually attributed to a destabiliza-
 67 tion of a coronal magnetic arcade embedding a semi-toroidal magnetic flux rope, anchored at
 68 both sides in the opposite photospheric magnetic polarities (e.g., [Chen, 1989](#); [Vršnak, 1990](#);
 69 [Titov and Démoulin, 1999](#); [Török and Kliem, 2005](#)); for a review see, e.g., [Schmieder et al.](#)
 70 [\(2015\)](#); [Green et al. \(2018\)](#). This simple concept is based on the fact that the coronal structure
 71 must contain free magnetic energy, i.e., it has to contain electric currents, in order to be ac-
 72 celerated into the upper corona and interplanetary space. In the pre-eruptive phase, when the

73 structure is still stable, the currents are co-aligned with the magnetic field, i.e., the structure
 74 is in the force-free state. Such a concept is supported by the observations, where pre-eruptive,
 75 as well as eruptive features usually show the so-called three-part structure: the bright frontal
 76 rim, the cavity and the bright core. These features are usually interpreted as signatures of the
 77 the overlying arcade, low-density flux-rope seen as its cross section, and a dense prominence
 78 material at the bottom of the cavity, respectively (e.g., [Gibson et al., 2006](#), and references
 79 therein). For analogous pre-eruptive signatures see, e.g., [Engvold \(1988\)](#). Finally, regarding
 80 the pre-eruptive magnetic field structure, it should be emphasized that a flux rope is not a
 81 necessary ingredient for the eruption; many authors consider also a sheared arcade or various
 82 quadrupolar structures (for observational aspects see, e.g., [Song et al., 2014](#); [Schmieder et al.,](#)
 83 [2015](#); [Ouyang et al., 2017](#); [Nindos et al., 2020](#), for theoretical aspect see, e.g., [Hood and Anzer](#)
 84 [1987](#) and references therein), where the flux rope is formed in the course of eruption. In the
 85 following we focus on the pre-eruptive flux rope embedded in a coronal arcade.

86 *2.1. Initiation and the main acceleration phase*

87 Mostly, the eruption itself is preceded by swelling and slow rising motion of the pre-eruptive
 88 structure. We know for at least two different processes that cause such a pre-eruptive be-
 89 haviour. The first one is gradual evolution of the pre-existing coronal structure through a
 90 series of quasi-equilibrium states, most clearly seen in the case of eruptive prominences. This
 91 process is related to the Poynting flux $E \times B$ that brings free magnetic energy into the corona
 92 due to, e.g., twisting and braiding motions of flux-rope footpoints, shearing motions of the
 93 arcade footpoints, so-called flux cancellation at the overlying arcade footpoints caused by
 94 converging motions of opposite magnetic polarities, or directly to the emergence of new mag-
 95 netic flux. All these processes result in the increase of the flux-rope electric current, and can
 96 lead to the loss of equilibrium of the whole structure, and consequently, the eruption (e.g.,
 97 [Vršnak, 2008, 2016, 2019](#)).

98 The second process is related to the emergence of an already unstable magnetic flux rope
 99 from the convective zone, trespassing the photosphere, chromospheric layers and the transition
 100 region towards the corona. In this case there are two reasons why the gradual pre-eruption
 101 phase occurs. First, the flux-rope emergence itself is slow due to large inertia of the dense
 102 plasma contained within the flux rope, so it cannot be rapidly accelerated and thus, it does
 103 not move fast. Second, the eruption can be initiated only after most of closed magnetic field
 104 in the flux rope emerges into the low-density corona. During this emergence, all the way
 105 through the chromosphere up to corona, the dense flux-rope plasma leaks from the flux rope
 106 downwards due to gravity, and the structure gradually becomes lighter. At a given moment,
 107 when the conditions for the eruptions are fulfilled, the structure starts to rapidly accelerate
 108 and erupts.

109 Generally, in both described processes, the eruption-associated flare energy release occurs
 110 with a little delay after the beginning of the eruption, when already a measurable acceleration
 111 occurs (e.g., [Berkebile-Stoiser et al., 2012](#)). However, there are cases when the energy release
 112 in the form of the so-called confined solar flare is observed prior to the eruption acceleration
 113 phase (e.g., [Aurass et al., 1999](#); [Nindos et al., 2020](#)). In these cases, the evolution of the pre-
 114 eruptive structure is basically not gradual, rather the transition to the unstable state goes

115 quite rapidly due to the flare-related fast restructuring of the pre-eruptive magnetic field
116 configuration.

117 Finally, it should be noted that the mentioned processes are just the most common scenarios
118 that can be inferred from observations. Yet, there are also other possible processes in complex
119 coronal structures, such as, e.g., interactions of neighbouring large-scale coronal structures,
120 interaction with coronal hole boundaries, destabilization of meta-stable structures by MHD
121 shock waves coming from distant eruptions, etc.

122 After the pre-eruptive structure loses its equilibrium, it starts to accelerate under the action
123 of unbalanced Lorentz force. It can be caused either directly by the so-called hoop force (e.g.,
124 [Chen, 1989](#); [Vršnak, 1990](#), and references therein), or can be due to some of well-known
125 instabilities such as kink or torus instability, or can be due to a combination of all of them
126 (for a review see, e.g., [Schmieder et al., 2015](#); [Green et al., 2018](#)).

127 *2.2. Role of reconnection in CME-associated flares*

128 As shown by [Vršnak \(2008\)](#), the ideal-MHD processes (processes not including the resistivity-
129 related effects), generally can result in accelerations up to several hundreds of m s^{-2} , i.e., can-
130 not come to the km s^{-2} range, even in most extreme pre-eruption magnetic field configurations
131 (e.g., extremely large twists, favourable overlying-field conditions, etc.; for details see Section
132 2.2.3 in [Green et al., 2018](#), and references therein). The main reason lies in the fact that the
133 self-inductivity L of any expanding structure increases, as L is generally proportional to the
134 dimensions of the system ([Garren and Chen, 1994](#); [Žic et al., 2007](#)). On the other hand, the
135 magnetic flux associated with the electric current I flowing through the system, $\Phi = LI$, in
136 the absence of resistive processes, e.g., magnetic reconnection, remains preserved. Thus, if
137 L increases and $\Phi = \text{const.}$, the current I has to decrease. Consequently, the Lorentz force
138 gradually ceases, and the acceleration weakens. Indeed, observations indicate that, generally,
139 the Lorentz force decreases as the heliocentric distance of CMEs increases ([Vršnak, 2001](#)).

140 However, the mentioned principles concern only the ideal-MHD processes, and the situation
141 changes if resistive processes break the $\Phi = \text{const.}$ condition. In this respect, it should be
142 noted that there is a simple, but very important, observational fact evidencing that resistive
143 processes, better to say reconnection, are tightly related to the acceleration phase of CMEs.
144 That is, CMEs are often associated with solar flares, which occur in low corona below the
145 erupting CME, and are caused by magnetic reconnection ([Miklenic et al., 2007, 2009](#)) in the
146 current sheet that is caused by the CME rising motion ([Ko et al., 2003](#); [Bemporad et al.,](#)
147 [2006](#); [Veronig et al., 2006](#); [Vršnak et al., 2009](#)). Statistically, faster CMEs are associated with
148 more powerful flares ([Bein et al., 2012](#); [Veronig et al., 2018](#)) and more impulsively accelerated
149 CMEs are associated with more impulsive flares ([Bein et al., 2012](#)). It has also been shown
150 that CMEs with higher accelerations are associated with hard-Xray flares that are charac-
151 terized by harder electron spectra ([Berkebile-Stoiser et al., 2012](#)). Moreover, the flare-related
152 energy release is closely synchronized with the CME acceleration (e.g., [Vršnak et al., 2004a](#);
153 [Maričić et al., 2007](#); [Temmer et al., 2008, 2010](#); [Bein et al., 2012](#); [Berkebile-Stoiser et al.,](#)
154 [2012](#); [Veronig et al., 2018](#)). The most direct evidence of the relationship between the CME
155 acceleration and the reconnection was presented by [Miklenic et al. \(2009\)](#), who compared
156 the inferred reconnection rate and the CME kinematics and found a clear correlation be-

157 tween the total reconnected flux and the CME velocity (see also the statistical studies by
158 [Qiu and Yurchyshyn, 2005](#); [Tschernitz et al., 2018](#)).

159 The explanation for this CME-flare coupling lies in the fact that the reconnection below
160 the rising CME supplies the expanding flux rope with additional poloidal flux, which has two
161 important effects. First, it reinforces the outward directed component of the hoop force, thus
162 providing a stronger net Lorentz force and consequently, a stronger acceleration. Second, it
163 reduces the inductive effects that tend to decrease the electric current in the flux rope due to
164 the increasing size of the CME, i.e., it supports the current and thus prolongs the action of
165 the Lorentz force and enables longer acceleration phase (for details see [Vršnak, 2008, 2009,](#)
166 [2016](#)).

167 Yet, the story does not end here. As a matter of fact, there is a feed-back relationship
168 between the CME acceleration and the flare-related reconnection in the wake of CME. Namely,
169 a higher CME acceleration creates stronger flows of plasma from regions ahead of the flux
170 rope to its rear, which causes vortex-type of motion, pushing the plasma into the rarefied
171 regions behind the flux rope, thus reinforcing reconnection ([Vršnak, 2008](#); [Temmer et al.,](#)
172 [2010](#); [Vršnak, 2016](#)). This explains a tight synchronization of the CME acceleration and the
173 flare-related energy release.

174 Statistically, fast and impulsively-accelerated CMEs originate from strong-field regions,
175 and start to accelerate at low heights ([Vršnak, 2001](#); [Vršnak et al., 2007](#); [Bein et al., 2011](#)).
176 This is consistent with the hypothesis that stronger CME accelerations are driven by stronger
177 magnetic fields, as the Lorentz force is the main driver of the eruption. Thus, one can say that
178 the kinetic energy of the eruption comes from the free energy stored in the magnetic field, and
179 as the free-energy is just a part of total energy (another part, related to the potential field
180 cannot be converted to work), it can be concluded that $\rho v^2/2 < B^2/2\mu_0$, i.e., that the CME
181 kinetic energy density cannot exceed the total magnetic energy density, implying $v_{\text{cme}} < v_A$,
182 where v_A represents the Alfvén speed *within* the CME body (for details see [Vršnak, 2008,](#)
183 and Section 2.2.3 in [Green et al., 2018](#)). Thus, in stronger fields an eruptive structure can
184 basically achieve a higher speed.

185 Yet, it should be noted that sometimes, in the case of eruptions of huge quiescent promi-
186 nences, although the eruption comes from quiet regions and somewhat larger heights, can
187 also achieve high speeds, exceeding 1000 km s^{-1} ([Vršnak et al., 2005b](#)). The reason lies in the
188 fact that the pre-eruption Lorentz force must be quite strong to balance the action of gravity
189 on the dense prominence plasma (note that here the structure is in a strongly non-force-free
190 state). When such structure loses equilibrium and starts to accelerate, the acceleration is rel-
191 atively weak due to large inertia of the prominence. However, as the prominence rises and the
192 magnetic field stretches, causing flattening of “dips” in the field structure where the promi-
193 nence plasma is “floating”, the prominence material starts to drain downward along legs of
194 the prominence-carrying flux rope due to the gravity. The eruptive structure becomes lighter
195 an lighter, providing continuously enhancing acceleration and eventually, leads to quite high
196 speeds. Of course, as the described process takes quite some time, the acceleration is rather
197 gradual, and the eruption achieves a high velocity quite late, at quite large heights.

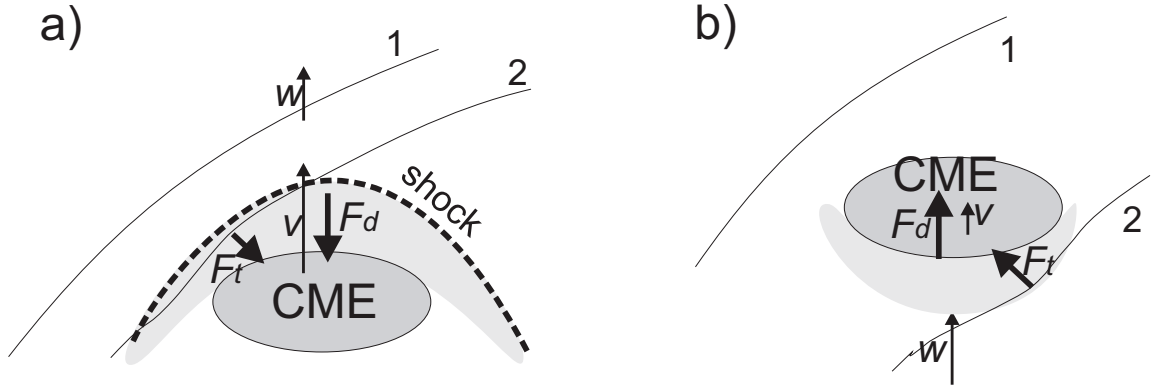


Fig. 1. Global effects acting on the ICMEs dynamics after the driving Lorentz force becomes negligible. The main component of the drag force is denoted as F_d , whereas F_t depicts the force caused by the tension and magnetic pressure gradient resulting from the ambient field deformation. a) ICME faster than the ambient solar wind ($v > w$) with a pile up of mass ahead (light gray). b) ICME slower than the ambient solar wind ($v < w$) with a pile up of mass behind. East is to the right, west to the left.

198 2.3. Kinematics in the outer corona

199 Although the described processes and their relationships tend to prolong the action of the
 200 Lorentz force, it nevertheless weakens with the increasing time/distance (Vršnak, 2001). If
 201 nothing else, this happens because the magnetic field weakens with the heliospheric distance.
 202 On the other hand, the MHD-drag effects (Cargill et al., 1994, 1996; Cargill, 2004) increase,
 203 as the speed of fast CMEs increases and such CMEs may become faster than the ambient
 204 solar wind.

205 The MHD drag is a consequence of the transfer of the momentum and kinetic energy to
 206 the ambient upstream solar-wind magnetoplasma that is permanently pushed outwards by
 207 faster-than-wind CMEs. The acceleration caused by the MHD drag can be approximately
 208 expressed in a form analogous to that describing the aerodynamic drag (Cargill, 2004):

$$209 \quad a = -\gamma(v - w)|v - w| \quad (1)$$

210 Here, v is the CME speed and w the ambient solar wind speed. The “drag parameter” γ
 211 (Vršnak et al., 2013, see also Vršnak et al., 2008) is usually expressed as $\gamma = c_d A \rho_w / M_{\text{tot}}$,
 212 where A is the CME cross-section area, and ρ_w is the ambient plasma density. The total
 213 mass, M_{tot} , is the sum of the mass of ejection, M_{cme} , and the so-called virtual mass, M_v ,
 214 that is related to the mass piled-up by the ejection. The virtual mass can be approximately
 215 estimated as $M_v = \rho_w V / 2$, where V is the volume of the ICME (for details see Cargill, 2004,
 216 and references therein). Thus, the total mass $M_{\text{tot}} = M_{\text{cme}} + M_v$ represents the mass of the
 217 ejection and the mass piled up by the interaction of the ejection with the ambient plasma
 218 (Figure 1a). The MHD nature of Equation (1), which is basically analogous to the air-drag,
 219 is hidden in the dimensionless drag coefficient c_d (Cargill, 2004). It can vary from $c_d \ll 1$
 220 when reconnection is involved, to $c_d \approx 10$ when the density of the ejection is lower than the

221 ambient density, and also depends on the orientation of the magnetic field relative to the
 222 ejection (for details see, [Cargill, 2004](#)). In the case of slower-than-wind CMEs, the mass piles
 223 up at the rear of the CME (Figure 1b) and the momentum is delivered by the wind to the
 224 CME. Equation (1) states that the drag tends to decelerate fast CMEs, as $v > w$ means
 225 $a < 0$, and should accelerate slow CMEs, i.e., when $v < w$, then $a > 0$.

226 Consequently, at a certain speed of fast CMEs, at a certain height range, the Lorentz force
 227 and the drag force become equal. In this phase, when the two forces are approximately equally
 228 strong, and thus there is practically no acceleration to be observed, the CME moves at an
 229 approximately constant speed.

230 Thus, after the main acceleration phase the eruption enters into the regime of “residual ac-
 231 celeration”, as named by [Zhang and Dere \(2006\)](#). Observations in the SOHO/LASCO C2 and
 232 C3 field-of-view ($\approx 2-30$ solar radii) show that the CME accelerations are centered at 0, im-
 233 plying a largely constant velocity in the outer corona ([Vršnak et al., 2004b](#); [Zhang and Dere,](#)
 234 [2006](#)). Still a detailed inspection of the data reveal that in this stage, fast CMEs mostly
 235 decelerate, whereas those that did not achieve the solar wind speed during the main accelera-
 236 tion stage, usually show a weak gradual acceleration. In this stage the Lorentz force becomes
 237 negligible, so basically only the drag governs the CME motion ([Vršnak, 2001](#)). However, it
 238 should be noted that even some fast CMEs are still significantly accelerating within the coro-
 239 nagraphic field of view ([Vršnak et al., 2004b](#)), indicating a prolonged action of the Lorentz
 240 force. It is also worth mentioning that practically no slow CMEs that decelerate at low speeds
 241 have been recorded ([Vršnak et al., 2004b](#)).

242 As already mentioned, if a CME is faster than the ambient solar wind, beyond a cer-
 243 tain height range that can be sometimes up to ≈ 100 solar radii ([Temmer et al., 2011](#);
 244 [Manchester et al., 2017](#)), the drag acceleration becomes a dominant force. Let us also note
 245 that the drag parameter γ should be approximately constant over a large distance range,
 246 since in the interplanetary space $\rho \propto 1/r^2$ and $A \propto r^2$, where r is the heliocentric distance.
 247 However, due to deceleration, the CME speed decreases and becomes closer and closer to
 248 the solar-wind speed so the net deceleration weakens and after a while the CME moves at
 249 approximately constant speed (e.g., [Gopalswamy et al., 2001](#); [Reiner et al., 2007](#)).

250 In the case of CMEs that are slower than the ambient solar wind, Equation (1) shows
 251 that the drag acts so that it accelerates them until they achieve a speed comparable with
 252 the solar-wind speed. In this case, the solar wind pushes the CME from behind, creating a
 253 pile-up of solar wind plasma at the rear of the CME (Figure 1b). Unlike in the case of fast
 254 CMEs, where the CME delivers the momentum to the ambient plasma, in this case the solar
 255 wind transfers its momentum to the CME, increasing its kinetic energy.

256 3. Heliospheric propagation

257 The presented considerations are consistent with findings summarized by [Gopalswamy et al.](#)
 258 [\(2001\)](#); [Gopalswamy \(2006\)](#), demonstrating that the heliospheric propagation of CMEs (at
 259 large heliospheric distances we say interplanetary CMEs or simply, ICMEs) is characterized by
 260 deceleration of fast ICMEs and acceleration of slow ones, indirectly confirming a dominance
 261 of the drag in the ICME propagation. The importance of the drag, already during the coronal
 262 propagation of CMEs, was statistically demonstrated by [Vršnak et al. \(2004b\)](#). It was shown

263 therein that in vast majority of events, fast CMEs decelerate and slow accelerate already
 264 below ≈ 30 solar radii, and moreover, that the fit in the acceleration-versus-speed graph,
 265 which included more than 5000 events, is better if the form given by Equation (1) is applied,
 266 than, e.g., if the linear fit is used. For a recent review concerning the heliospheric propagation
 267 of ICMEs see, e.g., [Manchester et al. \(2017\)](#).

268 3.1. Drag

269 Equation (1) describes an approximate behaviour of the MHD drag, which is in its form
 270 analogous to the widely used expression for the standard aerodynamic drag. The difference is
 271 in the dimensionless constant c_d that comes from the MHD approach (for details see, [Cargill,](#)
 272 [2004](#)). As already mentioned, if $v > w$ the moving structure decelerates, as the acceleration
 273 is $a < 0$. If $v < w$, it accelerates, as the acceleration is $a > 0$. If $v = w$, it moves at a constant
 274 speed, as there is no acceleration, $a = 0$.

275 The drag parameter γ says that the drag is more effective in the events that are wider, i.e.,
 276 A is large. Also, it is larger in denser ambience. The presented form for γ can be transformed,
 277 showing that γ is approximately proportional to the ratio of the ambient solar wind density
 278 and the CME density, ρ_w/ρ_{cme} (for details see, [Cargill, 2004](#); [Vršnak et al., 2013](#)).

279 The value of γ can be estimated from observations by fitting Equation (1) to the observed
 280 ICME kinematics, if the value of the actual solar wind speed is at least approximately known.
 281 Anyway, in fitting, the value of w can be varied over a certain reasonable range of values,
 282 providing the range of possible values of γ . Most often, γ is the range from $0.1 \times 10^{-7} \text{ km}^{-1}$
 283 (generally in the solar minimum) up to $2.5 \times 10^{-7} \text{ km}^{-1}$ (in the solar maximum and in complex
 284 solar wind configurations), with the mean value of $\approx 1 \times 10^{-7} \text{ km}^{-1}$ (for a distribution of values
 285 see [Vršnak et al., 2013](#)). If nothing else, this is because CMEs can have quite different masses,
 286 ranging from $\approx 10^{12} \text{ g}$ to $> 10^{16} \text{ g}$, with a peak in the distribution around $\approx 4 \times 10^{14} \text{ g}$ (e.g.,
 287 [Gopalswamy et al., 2009](#)).

288 The drag coefficient c_d is generally of a not-well-known value. It depends on the relative
 289 orientation of the ambient solar-wind magnetic field as well as on the level of the MHD
 290 turbulence, and most of all, on the density ratio ρ_w/ρ_{cme} (for a discussion and comparison
 291 with the observations, see, [Cargill et al., 1996](#); [Cargill, 2004](#)). The value of c_d increases from
 292 ≈ 1 for dense ICMEs to > 1 for tenuous ones. Note that the value of c_d in the solar wind
 293 basically can be inferred from observations, if they allow an estimate of A , ρ_w , M_{tot} , and w ,
 294 and of course, reliable measurements of the ICME kinematics. However, this was not done
 295 yet.

296 Finally, it is important to note that if we assume that the solar wind is approximately
 297 isotropic (which is, by the way, a quite questionable approximation) the value of γ is constant
 298 during the heliospheric propagation, since $\rho_w \propto r^{-2}$ due to $w \approx \text{const.}$, and $A \propto r^2$.

299 3.2. Additional relevant effects

300 There are various phenomena that in addition to the basic, most general behaviour described
 301 by Equation (1), affect the ICME motion. These are various forms of deflections, CME-CME
 302 interactions, and CME interactions with the solar-wind high-speed streams originating from

303 coronal holes, which can significantly alter the CME heliospheric kinematics and propagation
304 direction.

305 Regarding the ICME deflections one should primarily note that there is one effect that
306 influences fast as well as slow ICMEs over large range of distances. Namely, the interplanetary
307 field has the shape of Archimed spiral (in the solar wind case, often called Parker spiral) due to
308 the interplay of radially expanding solar wind and the rotation of the solar surface, where the
309 field lines are rooted. When a fast CME that initially expands radially, propagates through
310 the inclined magnetic field of a Parker spiral, it deforms the field lines, causing the magnetic
311 tension and pressure gradient that both act in the eastward direction, and thus the ICME
312 is deflected to the east (so-called “eastward deflection”, Wang et al., 2004). In the case of
313 slow CMEs, the situation is opposite. Here, the solar wind pushes the ICME from behind,
314 and since it is a kind of obstacle for the wind flow, the magnetic field lines are deformed in
315 such a way that the resulting tension acts in west direction (so-called “westward deflection”).
316 ICMEs that move at the solar-wind speed are not deflected. Causes for both the eastward and
317 westward deflections are sketched in Figures 1a and b, respectively. For empirical/statistical
318 confirmation of eastward deflection of fast ICMEs see Figure 6 in Manchester et al. (2017)
319 and Figure 10 in Sudar et al. (2016).

320 Another important effect is related to the orientation of the poloidal component of the
321 flux-rope magnetic field relative to the ambient heliospheric field. This was first studied by
322 Vandas et al. (1995, 1996). They considered two different orientations of the flux-rope axis
323 with respect to the ecliptic plane (parallel or perpendicular to it), and found out that radial
324 propagation does not depend much on this orientation. However, it was found that in all cases
325 the rope is deflected to the direction where the poloidal field of the flux rope is opposite from
326 that of the ambient field, i.e., where the reconnection occurs..

327 The described reconnection process results in one more effect, known as “flux-rope erosion”
328 (see Section 7.2 in Manchester et al., 2017, and references therein; see also Section 4.2 in
329 Vršnak, 2019). Namely, such reconnection “peels-off” the outer layers of the flux rope. This
330 can significantly affect the ICME propagation. First, the flux rope may lose a considerable
331 fraction of its magnetic flux (e.g., Vršnak, 2019). Second, a significant fraction of the flux-rope
332 mass is lost, which increases the drag parameter γ and thus, makes the drag more efficient
333 (see the definition of γ below Equation 1).

334 In addition to these systematic global-scale deflections, a CME, no matter fast or slow,
335 can be deflected already in the low corona (e.g., Möstl et al., 2015, and references therein).
336 Such deflections are caused primarily due to the CME interaction with the local ambient
337 magnetic field that sometimes results in sidewise directed magnetic tension and/or magnetic
338 pressure gradients. Such non-radial deflections, as well as the mentioned global-scale ones,
339 can significantly alter the ICME transit times to a certain object in the heliosphere and
340 can introduce an error into predicted arrival times if not taken into account in calculations.
341 Moreover, and certainly the most important consequence of deflections, is that they can lead
342 to the false positive (or negative) prediction of CMEs hitting the Earth.

343 In the solar-maximum phase, when the CME eruptions are frequent, it happens quite often
344 that two or more CMEs are launched in a close succession from the same or neighbouring
345 source region. Since they move in a more or less the same direction, in the case the preced-
346 ing CME is slower than the following one, the faster one will catch-up the leading one (e.g.,

347 [Farrugia and Berdichevsky, 2004](#); [Shen et al., 2012](#); [Temmer et al., 2012](#); [Maričić et al., 2014](#);
 348 [Rollett et al., 2014](#); [Temmer et al., 2014](#), and references therein). After the leading edge of
 349 the later CME comes in contact with the rear of the first one, an MHD interaction will start,
 350 leading to a deformation of their cross sections, and what is more important, to transfer of
 351 momentum from the faster to the slower one. Consequently, the leading CME will be accel-
 352 erated, whereas the later one will be decelerated, until both getting the same speed, after
 353 which they travel “glued” together. Signatures of such merging process can be found in com-
 354 plex ICME structures in the in situ measurements (e.g., [Farrugia and Berdichevsky, 2004](#);
 355 [Maričić et al., 2014](#)). In some events, if the magnetic field of the two ICMEs are oriented
 356 appropriately, magnetic reconnection of their fields can occur, forming a common magnetic
 357 field envelope. Note that if two interacting ICMEs are not moving in the same direction, the
 358 interaction causes a deflection of both in opposite directions, as expected from the momen-
 359 tum conservation law (e.g., [Rollett et al., 2014](#); [Temmer et al., 2014](#)). Finally, it should be
 360 emphasized that CMEs cannot be treated as rigid objects, as they are fluid objects char-
 361 acterized by expansion. Furthermore, CMEs are not coherent MHD structures, as in many
 362 instances CME expansion is faster than the local Alfvén speed, so an interaction on a given
 363 CME segment will never be communicated to its distant segments and the CME shape will
 364 be deformed by the interaction (e.g., [Savani et al., 2010](#); [Temmer et al., 2014](#); [Owens et al.,](#)
 365 [2017](#); [Ala-Lahti et al., 2020](#)).

366 Another type of interaction that can significantly affect the motion and shape of ICMEs is
 367 their interaction with high-speed streams (HSSs) in the solar wind that originate from coronal
 368 holes (e.g., [Temmer et al., 2011](#); [Vršnak et al., 2013](#)). Such streams characterized by speeds
 369 up to 800 km s^{-1} , i.e., are much faster than the “standard” slow solar wind. In addition,
 370 they have much lower density than the slow wind. Consequently, if a fast ICME encounters
 371 the HSS, the drag will become weaker, and thus, the deceleration will weaken too (e.g.,
 372 [Temmer et al., 2011](#)). In the case of slow ICMEs, where the solar wind tends to accelerate
 373 the erupting structure, the drag-related acceleration will change likewise. Note that in the
 374 latter case, a shock can be formed in the rear of the CME due to a large difference between
 375 ICME and HSS speed.

376 One more important effect is a deformation of the ICME cross section due to the interaction
 377 with the ambient plasma. It was noted in some simplified MHD simulations by [Cargill et al.](#)
 378 ([1994, 1996, 2000](#)), as sketched in Figure 2a. Later on, the effect was confirmed also by various
 379 heliospheric MHD simulations as reported by, e.g., [Vandas et al. \(1995\)](#) and [Owens et al.](#)
 380 ([2006](#)) and called “pancaking” (see Section 9.1 in [Manchester et al., 2017](#), and references
 381 therein), as the lateral extent of the erupting flux rope increases (“over-expansion”), and
 382 dominates over a somewhat reduced radial expansion (Figure 2b). This leads to an apparent
 383 thinning of the structure, especially compared to the self-similar expansion form, where the
 384 structure expands, but without changing its shape. The described effect is caused by vortex
 385 motions in the rear of the flux rope (Figure 2a) caused by its fast motion relative to the
 386 ambient magnetoplasma. The increased “inward” pressure gradient at the frontal part causes
 387 an increase of the outward turbulence-associated dynamical pressure at the rear part (like in
 388 the case of the airplane wing), leading to the flux-rope flattening. Signatures of pancaking are
 389 confirmed by observations of ICMEs by heliospheric imagers that can follow ICMEs from the

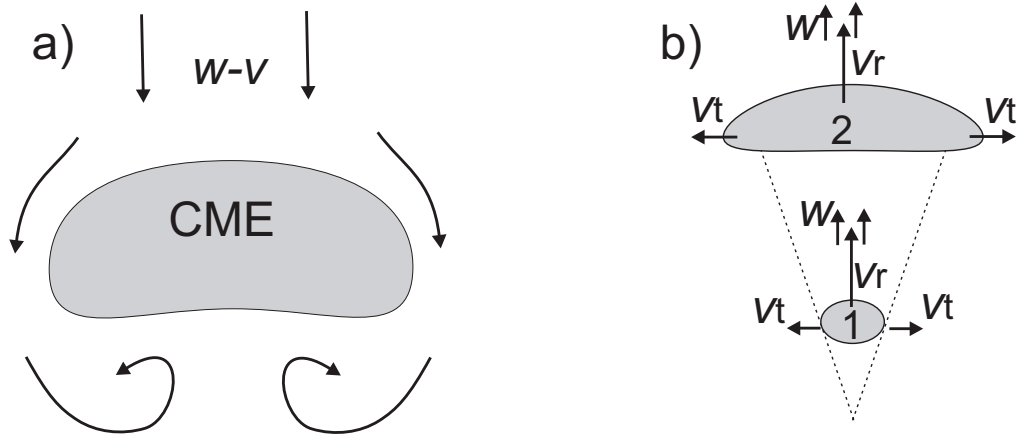


Fig. 2. Pancaking effect. a) Sketch of ambient plasma flow around the CME in the reference frame moving with the flux rope, so the ambient plasma flow has the velocity $w - v$. Vortices behind the CME are indicated b) Rest-frame evolution of the ICME shape (gray shaded) from the heliocentric distance 1 to 2. Solar wind speed is denoted as w , ICME radial speed as v_r , and tangential speed as v_t . The two dotted lines represent the ICME angular width at position 1, to emphasize the over-expansion.

390 corona up to distances beyond 1 AU, as well as by in situ measurements (e.g., [Gulisano et al.,](#)
 391 [2010](#); [Savani et al., 2010, 2011](#)).

392 To conclude, the pancaking behaviour is completely different from that of the rain droplets
 393 that form a tear-like shape. It is interesting to note that one can find the described effect in
 394 the case of cars that have the carrosseries (the carriage body of cars) with the backside “cut”
 395 vertically. The effect of the rear turbulence, and the resulting forward directed dynamical-
 396 pressure gradient one can see in the fact that there can be more raindrops on the rear car
 397 window than on the forward one. Thus, the effect of the ICME “pancaking” is twofold – the
 398 increasing angular extent of the ICME, i.e., over-expanding A , tends to increase the drag,
 399 whereas rear vortices tend to reduce it.

400 3.3. CME-driven shocks

401 Impulsively accelerated CMEs generate large amplitude fast-MHD waves that can steepen
 402 into shocks already in the low corona (e.g., [Warmuth, 2015](#); [Vršnak et al., 2016](#), and references
 403 therein). These large amplitude waves and shocks are evidenced by two relevant signatures
 404 (e.g., [Warmuth, 2007, 2015](#), and references therein). In close association with the CME main
 405 acceleration phase (e.g., [Vršnak and Cliver, 2008](#), and references therein), the so-called solar
 406 type II radio bursts are frequently recorded in the dynamic radio spectra. In such spectra,
 407 where the emission frequency is shown versus time, type II bursts appear as narrow-band
 408 emission, slowly drifting from high to low frequencies (Figure 3a), with drift-rates corre-
 409 sponding to a disturbance that propagates outward at the magnetosonic speed and can be
 410 generated only in shocks. Another signature, clearly visualizing the coronal propagation of a
 411 large-amplitude wave, are so-called EUV waves or previously EIT waves ([Thompson et al.,](#)

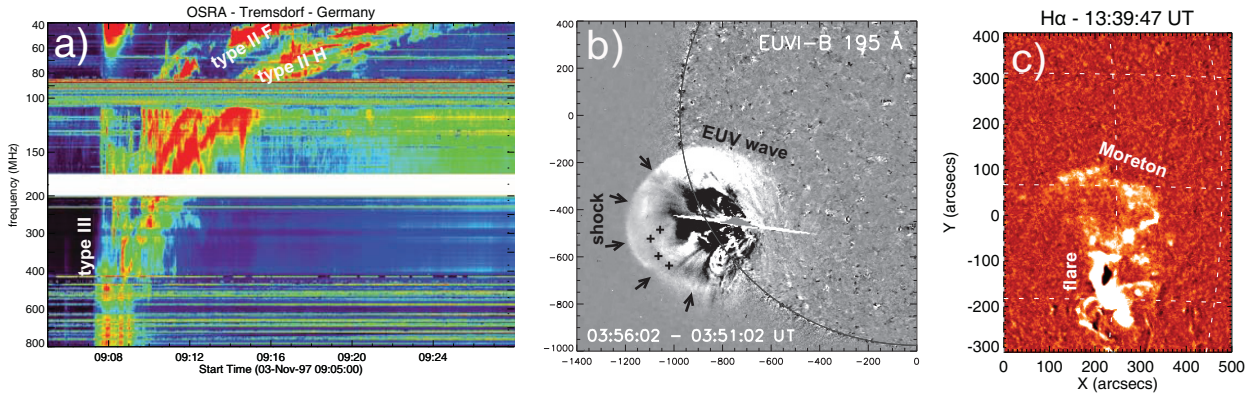


Fig. 3. a) Solar type II radio burst recorded on November 3, 1997, by the OSRA radiospectrograph of the Astrophysikalishes Institut Potsdam at Trensdorf. Fundamental and harmonic emission bands are indicated (type II F and type II H, respectively). Most often, the onset of type II burst is tightly related to the type III burst. Note that at the y-axis of the spectrum frequencies are presented in the reverse order to give a better impression of disturbance propagating outwards. b) Early stage of the eruption of January 17, 2010, recorded by EUVI-B instrument onboard STEREO-B mission. The coronal shock front is indicated by arrows, the expanding driver by black pluses. The on-disc signature of the shock is marked as EUV wave (for details see [Veronig et al., 2010](#)). c) Moreton wave recorded by the Sonnenobservatorium Kanzelhöhe (University of Graz) on May 2, 1998 (for details see [Warmuth et al., 2001](#)). The associated flare, usually being also the source of a CME, is also indicated.

1998; [Warmuth et al., 2001, 2004](#); [Veronig et al., 2008, 2010](#); [Muhr et al., 2010](#); [Veronig et al., 2011](#)) revealed by the EUV observations of low corona (Figure 3b). It should be also mentioned here that in some cases, actually the full 3D coronal shock-wave dome can be inferred or directly observed in EUV images ([Vršnak et al., 2006](#); [Veronig et al., 2010](#); [Kozarev et al., 2011](#), see Figure 3b). Associated with strong coronal shocks, the so-called Moreton waves ([Moreton and Ramsey, 1960](#)) are sometimes observed in chromospheric spectral lines, associated with type II bursts and EUV waves ([Vršnak et al., 2005a](#)). They represent the chromospheric response to the passage of the coronal shock (e.g., [Uchida et al., 1973](#); [Vršnak et al., 2002](#); [Warmuth et al., 2004](#); [Warmuth, 2015](#); [Vršnak et al., 2016](#); [Long et al., 2017](#), and references therein). Here, it should be mentioned that CMEs can generate also propagating “wave-like” features that are not waves but are either a result of coronal restructuring or a signature of the outer CME shell expansion (e.g., [Delannée, 2000](#); [Chen et al., 2005](#); [Attrill et al., 2007](#), and references therein; for a review on physics of coronal waves and “non-waves” see [Long et al., 2017](#)).

Coronal large-amplitude MHD waves are caused by two effects (e.g., [Warmuth, 2015](#); [Vršnak et al., 2016](#), and references therein). First, there is impulsively accelerated upward motion of the CME, and second, there is the so-called over-expansion (e.g., [Kienreich et al., 2009](#); [Patsourakos et al., 2010](#); [Patsourakos and Vourlidas, 2012](#); [Vršnak et al., 2016](#); [Veronig et al., 2018](#), and references therein) of the CME in the early stage of the eruption. The former effect tends to create a perturbation of the bow-shock type, whereas the latter acts as a

3-dimensional piston (e.g., [Vršnak et al., 2016](#)). Note that in the piston case, the source does not have to be supersonic, as the shock is created by non-linear evolution of the large-amplitude wave, i.e., due to the fact that wave elements of higher amplitude have larger phase speed. Thus, since the wave crest moves faster than leading edge of the wave, the wave profile gradually steepens, and eventually becomes a discontinuity, i.e., the MHD shock forms ([Vršnak and Lulić, 2000](#)).

When the outward propagation dominates over the over-expansion, the CME creates only a coronal signature, i.e., the radio type II burst, excited at the CME tip or flanks relatively closely to the tip. In low corona, EUV signatures cannot be found ([Vršnak et al., 2016](#)). On the other hand, when there is significant impulsive over-expansion, the CME creates the EUV-wave signature, and if the shock is strong enough, it creates also an observable chromospheric response in the form of Moreton wave ([Vršnak et al., 2016](#)).

CMEs that are powerful enough continue to drive shocks through the upper corona and the interplanetary space. The shock is again a combination of the bow-shock type and the piston-shock type, as CMEs generally expand laterally also in the interplanetary space. In the radio dynamical spectrum we observe them as the so-called decametric, hectometric, and kilometric type II bursts (e.g., [Gopalswamy et al., 2000](#); [Vršnak et al., 2001](#); [Gopalswamy, 2006](#)). Clear shock signatures are also recorded ahead of CMEs by the in situ measurements in the vicinity of the Earth (e.g., [Dulk et al., 1999](#); [Witasse et al., 2017](#)), and beyond. Here we have two different situations. Sometimes, the shock is attached to the CME like a bow shock (the wave-associated flow speed increases from the shock to the driver). On the other hand, if the CME does not contain enough energy to drive the shock anymore, i.e., it “gets tired” due to a transfer of the momentum to the ambient plasma, the shock detaches from the CME front and continues to travel as a freely-propagating wave ([Warmuth, 2015](#), and references therein). In such a case, the shock-associated flow speed decreases from the shock to the CME. This is possible as the dissipative losses are relatively weak, as well as due to the fact that the ambient density falls-off as $1/r^2$, which compensates the r^2 increase of the shock area, which enables the preservation of the wave amplitude. Note that the amplitude can even increase due to decreasing Alfvén speed in the interplanetary space.

It should also be noted that due to the continuous expansion of the CME body relative to the solar wind, the so-called reverse shock is sometimes formed at the rear of the ICME. Such a signature can be clearly seen in the events characterized by strong expansion.

Finally, let us mention an important aspect of the presence of the ICME driven shocks. The sheath region between the shock and the driving ICME body is characterized by strong MHD turbulence, so there are always segments of the sheath where at least one magnetic field component of the incoming solar wind is opposite to that of the Earth magnetic field, enabling the component reconnection. Consequently, the shock-driving ICMEs are always geoeffective to a certain degree, even if the magnetic field of the ICME body itself does not have the magnetic field orientation suitable for reconnection with the geomagnetic field.

3.4. Geoeffectiveness of ICMEs

ICMEs frequently cause disturbances of the geomagnetic field, sometimes even the most severe ones (e.g., [Kilpua et al., 2017](#); [Riley et al., 2018](#)). Generally, the geomagnetic field dis-

474 turbances, the so-called geomagnetic storms and substorms are described by various “global”
 475 indices, such as Kp, ap, aa, Am, AE, PC, Dst, etc. Storms are related to reconnection of
 476 interplanetary magnetic field (IMF) and the geomagnetic field at the day-side (“nose re-
 477 connection”). They affect significantly whole magnetosphere, from the equator to the poles.
 478 Substorms are caused by reconnection in the Earth’s magnetic tail, affecting more severely
 479 the polar regions. Note that particularly strong geomagnetic effects are related to CMEs
 480 occurring in close succession, and interacting, either close to Sun or in the interplanetary
 481 space (e.g., [Liu et al., 2014](#); [Vennerstrom et al., 2016](#); [Lugaz et al., 2017](#); [Riley et al., 2018](#);
 482 [Rodríguez Gómez et al., 2020](#)).

483 The Dst index describes changes of the horizontal field components around the magnetic
 484 equator and is based on measurements of several terrestrial magnetometer stations. In the
 485 initial phase of the storm, the surface magnetic field is somewhat enhanced, due to the
 486 compression caused by increased dynamical pressure of the ICME. Then, after the recon-
 487 nection of the southward component of the ICME’s magnetic field with the northward geo-
 488 magnetic field component starts, the Dst index reveals a significant decrease of the surface
 489 field, with amplitudes sometimes reaching several hundreds of nT, up to almost 600 nT (e.g.,
 490 [Baker et al., 2013](#)). This effect is caused by the enhancement of the so-called ring current
 491 (e.g., [Sandhu et al., 2018](#)), which is oriented in such a way that it reduces the surface mag-
 492 netic field. The increase of the ring current is caused by the injection of energized particles
 493 into the magnetosphere. It affects not only the magnetosphere, but also the ionosphere and
 494 induces geomagnetically induced currents (GICs) on the Earth surface that can cause severe
 495 consequences in everyday life (e.g. [Feynman and Gabriel, 2000](#); [Schrijver et al., 2015](#)). Note
 496 that although the changes of the Earth’s surface field ($\delta B < 600$ nT) are relatively small
 497 compared to the strength of the quiet surface field (say, $\approx 40 \mu\text{T}$ at the equator, i.e., the
 498 perturbation in strong storms is about 1% of the equatorial field), they can induce quite
 499 strong electric fields and thus, quite strong GICs, due to very fast changes of surface field
 500 ($E \propto dB/dt$). Finally, it should be noted that various estimates of the super-storm of 1859
 501 (“Carrington event”) indicate that the Dst amplitude might have been larger than 1000 nT
 502 (e.g., [Siscoe et al., 2006](#); [Cliver and Dietrich, 2013](#); [Moriña et al., 2019](#), for a general overview
 503 on extreme space weather events see [Riley et al., 2018](#), and references therein).

504 4. Space weather forecasting tools

505 There is a number of statistical, analytical, and numerical ICME-related forecasting tools.
 506 Mainly, they are oriented to the prediction of the arrival time and impact speed of the
 507 ICMEs at the Earth (see, e.g., [Vršnak et al., 2014](#); [Verbeke et al., 2019](#); [Vourlidas et al., 2019](#),
 508 and references therein). A prediction of the geoeffectiveness of an ICME is a much more
 509 demanding problem, because it is very difficult to estimate from the solar CME observations
 510 what the southward component of the ICME magnetic field will be when it arrives to the
 511 Earth. Hereafter, let us focus on some empirical/statistical and analytical models that are
 512 frequently used to predict the arrival and impact speed of a given CME. For a recent overview
 513 of active methods see [Riley et al. \(2018\)](#), [Verbeke et al. \(2019\)](#), and Section 5.2 in the review
 514 by [Manchester et al. \(2017\)](#).

515 *4.1. Empirical/statistical tools*

516 The simplest possible forecasting estimate of the ICME arrival time is based on the so-called
 517 “Brueckner 80 h rule” (Brueckner et al., 1998). It states that the majority of solar eruptions
 518 on average will arrive to the Earth in 80 h. Interestingly, this is a quite effective prediction
 519 since the vast majority of ICMEs move at speeds close to the solar wind speed or a bit
 520 faster, and that is the time for a disturbance moving at $\approx 500 \text{ km s}^{-1}$ to come from the Sun
 521 to the Earth. Yet, such a prediction fails in the case of slow CMEs, where the transit time
 522 can be up to 5–6 days, and especially for the fast ones, which in extreme cases can arrive
 523 in less than one day, down to 17.5 h in the historic Carrington event of September 1, 1859
 524 (Gopalswamy et al., 2005). The latter is especially large drawback, as fast ICMEs tend to
 525 be, on average, more geoeffective than the slow or mid-speed ICMEs (e.g., Dumbović et al.,
 526 2015, and the references therein).

527 The mentioned shortcoming regarding very fast and very slow ICMEs can be at least partly
 528 eliminated by using the approximation of a “ballistic propagation”. In this approximation,
 529 the CME speed measured by coronagraphs is used to extrapolate its motion through the
 530 heliosphere by assuming that the CME/ICME speed remains roughly constant. This approach
 531 is frequently used to connect eruptions observed in the corona with the ICME signatures
 532 recorded in situ (e.g., Murray et al., 2018). Again, the problem is that the deceleration of fast
 533 ICMEs is neglected, which can cause a wrong CMI-ICME pairing, especially in the phase of
 534 high solar activity.

535 Somewhat more advanced is the empirical, statistics-based, kinematical model by
 536 Gopalswamy et al. (2001); see also Reiner et al. (2007). The method is based on the as-
 537 sumption that after the stage of decelerated motion of fast CMEs (or acceleration of slow
 538 ones), the ICME enters the regime of constant speed. In the non-constant speed stage, the
 539 ICME propagation is approximated by an uniformly decelerating/accelerating motion, where
 540 the amount of deceleration/acceleration depends on the ICME speed. This stage is consid-
 541 ered to end at heliocentric distances between ≈ 50 and ≈ 150 solar radii (see Section 5.1 in
 542 Manchester et al., 2017, and references therein). The main problem in the real-time forecast-
 543 ing based on this method lies in the fact that the distance at which the CME enters the
 544 approximately constant-velocity stage is not known, and it can largely vary from one event
 545 to another (for details see Manchester et al., 2017). Furthermore, it does not account for
 546 difference in the solar wind conditions from solar minimum to maximum, nor for the ICME-
 547 ICME interactions and ICME-HSS interactions. However, the uncertainty in the real velocity
 548 of CMEs, as we directly observe only the plane-of-sky component of velocities, remains the
 549 most significant drawback of this model.

550 Let us mention here also the forecasting methods based on the “neural network approach”.
 551 Such a method was applied by, e.g., Sudar et al. (2016), but the accuracy of the arrival-
 552 time prediction was no better than with other empirical/statistical procedures. Such an
 553 approach was also tried for forecasting the geoeffectiveness of CMEs (e.g., Valach et al.,
 554 2009; Uwahoro et al., 2012; Dumbović et al., 2015) and Forbush decrease predictions
 555 (Dumbović et al., 2016).

556 Finally, it should be noted that there is a number of other empirical/statistical methods
 557 not mentioned herein. For other examples of various forecasting options see Section 5.2 in
 558 [Manchester et al. \(2017\)](#).

559 4.2. Analytical models

560 Hereafter, two analytical, physics-based CME/ICME propagation models that can be used
 561 as forecasting tools are presented. These are the “snow plough” model and the drag-based
 562 model (for the physical background of these two approaches see, e.g., [Tappin, 2006](#), and
 563 references therein). Both are characterized by a smooth and continuous non-constant accel-
 564 eration, decreasing from high to low values. Furthermore, in both the acceleration depends
 565 on the CME/ICME speed relative to the solar wind speed squared. Although they are both
 566 considering the interaction with the ambient plasma, and are both described by similar equa-
 567 tions, they are based on different physical principles. The snow-plough model (SPM) takes as
 568 the basic physical principle only the momentum conservation, whereas the drag-based model
 569 (DBM; [Vršnak et al., 2013](#)) considers also the MHD nature of the interaction between the
 570 CME/ICME and the ambient magnetoplasma.

571 The SPM is based solely on the momentum-conservation principle (e.g., [Feng et al., 2015](#)).
 572 In the model it is ad hoc assumed that the plasma ahead of a fast ejection continuously
 573 piles up. Let us consider that at a given moment the erupting structure propagates at the
 574 instantaneous speed, V , relative to the solar wind, i.e., $V = v - w$, where v is the rest-frame
 575 speed of the ejection and w is the speed of the ambient plasma. In the absence of external
 576 forces, the momentum $M_{\text{tot}}V$ has to remain constant, where M_{tot} is the total instantaneous
 577 mass of the ICME, i.e., the mass of the ejection itself (M_{cme}), plus the mass of accumulated
 578 ambient plasma (i.e., the virtual mass M_v , so $M_{\text{tot}} = M_{\text{cme}} + M_v$), $M_{\text{tot}}V = \text{const.}$, meaning
 579 $d(M_{\text{tot}}V)/dt = 0$. This implies:

$$580 \quad M_{\text{tot}} \frac{dV}{dt} + V \frac{dM_v}{dt} = 0, \quad (2)$$

581 where it was assumed $M_{\text{cme}} \approx \text{const.}$ Thus, the deceleration reads $dV/dt = a =$
 582 $-V(dM_v/dt)/M_{\text{tot}}$. On the other hand, the change-rate of the accumulated mass can be
 583 expressed as:

$$584 \quad \frac{dM_v}{dt} = A\rho_w V, \quad (3)$$

585 where ρ_w is the ambient density and A is the cross-section area of the ejection. This expression
 586 can be rewritten using the sector density σ (i.e., the mass per unit solid angle per unit radial
 587 distance and the heliocentric solid angle of the ejection, Ω , meaning $A\rho_w = \sigma\Omega$ ([Tappin,](#)
 588 [2006](#)). Combining Equations (2) and (3) one finds the equation of motion:

$$589 \quad \frac{d^2r}{dt^2} = -\frac{A\rho_w}{M_{\text{tot}}} \left(\frac{dr}{dt} - w \right)^2, \quad (4)$$

590 where it was assumed $w = \text{const.}$ Since it is taken that V is always positive, i.e., $v > w$, the
 591 mass is continuously accumulated (for observations see, e.g., [Colaninno and Vourlidis, 2009](#);
 592 [Bein et al., 2013](#); [Feng et al., 2015](#)). Note also that in deriving Equation (4) it was assumed

593 that no plasma is escaping sidewise at the ejection flanks, thus the total mass continuously
 594 increases. Note that Equation (4) shows that the acceleration a , has a quadratic dependence
 595 on V .

596 Obviously, Equation (4) implies that the SPM can be applied only to fast CMEs. In this
 597 respect the DBM (Equation 1) is better, as it applies also to slow CMEs. The DBM is based
 598 on the fact that the ambient plasma is magnetized, so the momentum is transported to the
 599 ambient plasma (or from the ambient solar wind to slow ICMEs) by perturbations of the
 600 magnetoplasma, i.e., by collisionless magnetosonic waves. In this way, it also explains the for-
 601 mation of CME/ICME-driven shocks, often observed in the case of fast CMEs. Namely, as,
 602 e.g., a fast CME moves through the ambient solar wind, it creates a frontal compression of the
 603 ambient magnetoplasma, and the signals about changes of the CME velocity propagate out-
 604 wards at a magnetosonic speed (Lulić et al., 2013; Vršnak et al., 2016). Due to the non-linear
 605 evolution of such a magnetosonic perturbation, the wave crest steepens until it eventually
 606 becomes a discontinuity, i.e., the shock (Vršnak and Lulić, 2000; Vršnak et al., 2016). The
 607 equation of motion used in DBM is given by Equation (1), presented and discussed in Section
 608 2.3.

609 The ICME arrival time and impact speed predictions based on DBM
 610 are available as a free online forecasting tool that can be found at
 611 <http://oh.geof.unizg.hr/DBM/dbm.php>. It is included also in The Space Situational
 612 Awareness (SSA) webpage of ESA (<https://swe.ssa.esa.int/sco.pla>), and at the NASA
 613 scoreboard (<https://kauai.ccmc.gsfc.nasa.gov/CMEscoreboard/>). Typically, the predictions
 614 of the ICME arrival time are at an accuracy of about ± 10 h, which is very similar to the
 615 more advanced numerical methods. In direct comparison of the DBM and the numerical
 616 model ENLIL (for the ENLIL model see, e.g., Odstrčil and Pizzo, 1999), done for a sample
 617 of CMEs/ICMEs, Vršnak et al. (2014) found a very good match between the two. The
 618 correspondence was better in periods of low activity than in high-activity phases, mainly
 619 due to the fact that ENLIL can track the changes in the ambient solar wind configuration
 620 caused by preceding ICMEs. For a detailed comparison of behaviour of a single event see,
 621 e.g., Falkenberg et al. (2011). Note that the DBM accounts for the flattening of the frontal
 622 edge of fast CMEs, but cannot give the information about ICME pancaking, i.e., lateral
 623 over-expansion of the ICME body.

624 There are various causes that lead to uncertainties in the DBM estimates of the ICME
 625 arrival time, impact speed and probability of impact (e.g., Kay and Gopalswamy, 2018, and
 626 references therein). Like in any other forecasting model, first of all, it is the uncertainty in
 627 the measured CME speed at the beginning of its heliospheric propagation. This is related not
 628 only to the accuracy of measurements themselves, but also on the assumed geometry of the
 629 CME leading edge (see, e.g., Žic et al., 2015; Rollett et al., 2016, and references therein) and
 630 the applied reduction technique (for an overview see Barnard et al., 2017).

631 Second, the solar wind speed is basically taken as an average value calculated over a certain
 632 period overlapping with the eruption onset, and this can be quite different from the actual
 633 one. Finally, the value of the drag parameter γ is not well known, primarily due to the un-
 634 known drag coefficient c_d (it is usually taken as $c_d = 1$) and the quite large uncertainty in the
 635 mass estimates based on the coronagraphic observations (Vourlidas et al., 2000; de Koning,
 636 2017). Thus, it is reasonable to broaden the standard DBM (Vršnak et al., 2013) to an ensem-

637 ble type of modelling, which was performed by [Dumbović et al. \(2018\)](#), who developed the
 638 Drag-Based Ensemble Model (DBEM), available as an online tool at the Space Situational
 639 Awareness (SSA) European Space Agency (ESA) portal (<https://swe.ssa.esa.int/heliospheric-weather>). This option of DBEM, i.e., the ensemble approach, with some significant additional
 640 improvements (e.g., [Kay et al., 2020](#)), is more and more in use as it gives an insight into the
 641 uncertainty of the estimate of the mentioned impact parameters. Note that the ensemble
 642 modelling is nowadays used also in the case of MHD-simulation forecasting models (e.g.,
 643 [Mays et al., 2015](#)).

644 Finally, it should be noted that recently an extended version of DBEM operative tool
 645 was developed (DBEMv3) at the Hvar Observatory (Croatia) in collaboration with Institute
 646 of Physics of the University of Graz (Austria). This new version allows also the im-
 647 plementation of CME input parameters based on the Graduated Cylindrical Shell tech-
 648 nique (GCS; [Thernisien et al., 2006, 2009; Thernisien, 2011](#)). The tool is available at
 649 <https://swe.ssa.esa.int/heliospheric-weather> and <https://swe.ssa.esa.int/graz-dbem-federated>
 650 ([Čalogović et al., 2021](#), in preparation).

652 5. Summary and conclusion

653 In the present paper, the physical background of the origin, initiation, acceleration, helio-
 654 spheric propagation and geoeffectiveness of coronal mass ejections is discussed. These gen-
 655 eral considerations are eventually applied to the space weather forecasting based on empiri-
 656 cal/statistical and analytical methods.

657 Driven by subphotospheric convective motions as well as the photospheric and chromo-
 658 spheric flows, the coronal magnetic field configuration evolves so that electric currents con-
 659 tinuously build up, i.e., more and more free magnetic energy and helicity are stored in the
 660 system. This process is particularly conspicuous in the dynamically developing magnetic con-
 661 centrations, such as active regions.

662 As this process cannot go on endlessly, at a certain critical point, a given “overloaded”
 663 coronal structure loses its equilibrium, enters into an unstable state and eventually erupts.
 664 The transition to the unstable state can happen quite gradually, over the hours, even days,
 665 and the evolution can be described as a series of quasi-equilibrium states. In this process the
 666 pre-eruptive structures usually swell and rise slowly, until reaching a critical height when they
 667 lose their equilibrium and erupt. However, sometimes the structure can have a transition to
 668 an unstable state relatively rapidly, e.g., when the structure develops fast, like in pre-eruptive
 669 restructuring caused by confined impulsive flares, or emergence of an already unstable twisted
 670 field.

671 After the pre-eruptive structure loses its equilibrium, it starts to accelerate upwards due
 672 to unbalanced Lorentz force, which can drive the structure to speeds of, say, from <100
 673 >2000 km s⁻¹. In some cases, when the ambient conditions, e.g., when the overlying field is
 674 too strong, the eruption is halted at some height. If it finds some upper equilibrium state it
 675 stays there, and if not it returns back to a state similar to the pre-eruptive state. We call such
 676 events the “failed eruptions”. If the eruption manages to overpower all possible obstacles, the
 677 coronal cocoon becomes a beautiful swaying butterfly winging into the solar wind.

678 Now, slow butterflies fly carried by the wind, moving faster and faster until they start
 679 moving together with the wind. Stronger and more stubborn ones, which try to fly faster
 680 than the wind stream, have to fight against the drag, and as they get tired they start to slow
 681 down. That is, slow CMEs accelerate, the fast ones decelerate.

682 Most of empirical and physics-based analytical forecasting methods are based on this effect.
 683 In principle, this should work well. However, due to inaccuracies in the initial conditions taken
 684 from observations, as well as due to various known and unknown processes occurring during
 685 the CME/ICME heliospheric propagation, the accuracy of the 1 AU arrival-time predictions
 686 is on average no better than ± 10 h, sometimes being as large as 1 day. Yet, in the majority of
 687 cases the prediction errors are grouped about 0 h (for a very detailed review on this topic see
 688 [Vourlidas et al., 2019](#), and references therein). Although this may seem very good, and at this
 689 stage of space weather forecasting no worse than that provided by very advanced full-MHD
 690 simulations, it should be emphasized that empirical and analytic methods are still burdened
 691 with a large fraction of too wrong predictions, including the false alarms and missing hits,
 692 which will be unavoidable obstacle in their further applications. For now, they have advantage
 693 of being very fast, providing instantaneous adjustment to the incoming refreshed observational
 694 data. Thus, it seems that the future of the space weather forecasting lies in “physics-based”
 695 numerical simulations, which should work well even now, if a more accurate observational
 696 input for the initial and boundary conditions would be more accurate. As far as I can see,
 697 without better observational input, there will be no significant progress in the field of space
 698 weather forecasting, whatsoever we do in advancing the models.

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